

ARTICLE



# SGLT2 inhibitors and risk reduction for mortality in high-risk patients: a meta-analysis of randomized controlled trials

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# Abstract

**Background:** The aim of this paper is to assess the impact of sodium-glucose cotransporters-2 (SGLT2) inhibitors on allcause and cardiovascular (CV) death in high-risk patients and compare the efficacy of empagliflozin and dapagliflozin. **Methods:** PubMed was queried from inception to the last week of September 2023 for randomized controlled trials that compared SGLT2 inhibitors' empagliflozin or dapagliflozin with placebo and included patients with heart failure (HF), type 2 diabetes mellitus (T2DM), or chronic kidney disease (CKD). The outcome of interest was CV death or all-cause death. Hazard ratios (HR) with 95% confidence intervals (CI) were pooled using a random effect model, and forest plots were created to analyze the results visually. A chi-square test was performed to assess subgroup differences between empagliflozin and dapagliflozin. **Results:** Eight trials (N=55,818) were included in our analysis, namely EMPA-REG, EMPEROR-Reduced, EMPEROR-Preserved, EMPA-KIDNEY, DECLARE-TIMI, DAPA-HF, DELIVER and DAPA-CKD. Pooled analysis demonstrated that compared to placebo, SGLT2 inhibition reduced the risk of CV death (SGLT2i arm = 1405 events, 29,089 total patients; placebo arm = 1515 events, 26,729 total patients; HR: 0.85; 95%CI: 0.79-0.93, p<0.001) and all-cause death (SGLT2i arm = 2,491 events, 29,062 total patients; placebo arm= 2,625 events, 26,729 total patients; HR: 0.86; 95% CI 0.79-0.95, p=0.002) in high-risk patients identified as having either T2DM, HF, or CKD. No differences were observed in the effect of empagliflozin and dapagliflozin on CV death (HR<sub>empagliflozin</sub>: 0.81; 95% CI 0.68-0.97, HR<sub>dapagliflozin</sub>: 0.88; 0.82-0.95, p=0.39) and all-cause death (HR<sub>empagliflozin</sub>: 0.86; 95% CI 0.73-1.02, HR<sub>dapagliflozin</sub>: 0.87; 0.78-0.97, p=0.94).

**Conclusions:** SGLT2 inhibitors reduce the risk of all-cause and CV death in high-risk patients. Notably, there were no discernible differences in the benefits of empagliflozin and dapagliflozin on these outcomes.

Key words SGLT2 inhibitors, mortality, cardiovascular death, high-risk patients.

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# Introduction

The sodium-glucose cotransporters-2 (SGLT2) inhibitors, empagliflozin, and dapagliflozin, have been studied in 8 large trials that enrolled a vast array of individuals at high risk for adverse events. In brief, the EMPA-REG OUTCOME (Empagliflozin Cardiovascular Outcome Event Trial in Type 2 Diabetes Mellitus Patients - Removing Excess Glucose) trial enrolled patients with type 2 diabetes mellitus (T2DM) and showed that empagliflozin reduces the risk of hospitalization of heart failure (HF) (HHF) by 35%.<sup>1</sup> This benefit was confirmed in the DECLARE-TIMI (Dapagliflozin Effect on Cardiovascular

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Events) trial and raised interest in SGLT2 inhibition as a potential therapy in HF.<sup>2</sup> The subsequent DAPA-HF (Dapagliflozin and Prevention of Adverse Outcomes in Heart Failure) and EM-PEROR-Reduced (Empagliflozin Outcome Trial in Patients with Chronic Heart Failure and a Reduced Ejection Fraction) trials demonstrated that SGLT2 inhibitors improve major HF outcomes in patients with HF with reduced ejection fraction (HFrEF).<sup>3,4</sup> The EMPEROR-Preserved (Empagliflozin Outcome Trial in Patients with Chronic Heart Failure with Preserved Ejection Fraction) and DELIVER (Dapagliflozin Evaluation to Improve the Lives of Patients with Preserved Ejection Fraction Heart Failure) trials confirmed this benefit in patients with HF with preserved ejection fraction (HFpEF).<sup>5,6</sup> The subsequent DAPA-CKD (Dapagliflozin and Prevention of Adverse Outcomes in Chronic Kidney Disease) and EMPA-KIDNEY (The Study of Heart and Kidney Protection With Empagliflozin) trials demonstrated the benefit of dapagliflozin and empagliflozin in patients with chronic kidney disease (CKD), respectively.<sup>7,8</sup>

Across these trials, the impact of SGLT2 inhibitors on all-cause or cardiovascular (CV) death was inconsistent. Taking the results of these trials together may represent an opportunity to define the effect of SGLT2 inhibition on all-cause and CV death in a high-risk population where adverse outcomes are of particular concern. Additionally, it remains to be seen whether empagliflozin and dapagliflozin offer similar effects. This knowledge gap could lead to under-prescription of these life-saving medications when either drug is unavailable in specific clinical settings.

# **Materials and Methods**

This systematic review and meta-analysis are reported in conformity with the PRISMA (Preferred Reporting Items for Systematic Review and Meta-Analyses) guidelines.<sup>9</sup> Approval from the institutional review board was not required as publicly available aggregate data was used.

## Objectives, eligibility criteria, and outcomes

Randomized controlled trials were considered eligible for inclusion if they: i) compared SGLT2 inhibitors' empagliflozin or dapagliflozin with placebo; ii) included patients with HF, T2DM, or/and CKD; and iii) reported CV or all-cause death. Only reports of trials with >1000 participants were considered to ensure the inclusion of well-conducted studies with outcome adjudication and sufficient treatment duration. To reduce heterogeneity, we used outcomes with empagliflozin in HFpEF using DELIVER-like endpoint definitions.<sup>10</sup>

## Data sources and search

PubMed was queried from inception to the last week of September 2023. No language restrictions were placed. We

also searched clinicaltrials.gov and scrutinized the reference list of eligible review papers to assess for relevant articles. The articles obtained from the systematic search were exported to EndNote Reference Library software for duplicate screening and removal. Two independent reviewers (JB and TJS) carefully evaluated the remaining articles and selected trials that met the predefined criteria. Initially, trials were short-listed based on their title and abstract, and then the full texts were reviewed to confirm relevance. Discrepancies were resolved by discussion until consensus.

## Data extraction and risk of bias assessment

Trial characteristics, baseline demographics, and outcomes were extracted onto a predesigned Excel spreadsheet. T.J.S and J.B. conducted the quality assessment using the Cochrane Risk of Bias tool (version 1.0) to assess the risk of bias in the included trials.

## Statistical analysis

RevMan (version 5.3; Copenhagen: The Nordic Cochrane Centre, The Cochrane Collaboration, 2014) was used for all statistical analyses. The trial results were presented as hazard ratios (HRs) with 95% confidence intervals (CIs) and pooled using a random effects model. Forest plots were created to assess visually the results of pooling. A chi-square test was performed to evaluate for differences between the subgroups. Heterogeneity among the studies was assessed using Higgins  $l^{2,11}$  Begg's test was performed to evaluate publication bias. A significance level of less than 0.05 was used in all cases.

## Results

## Study and participant characteristics

Results from the literature search and study selection process are summarized in Figure 1. We included eight RCTs enrolling 55,818 participants with a mean age of 65.7 years, with a median follow-up of 2.25 years (interquartile range: 1.75-2.75), Table 1. All included studies achieved a "high" quality rating, as shown in *Supplementary Figure 1*.

## **Results of meta-analysis**

#### Cardiovascular death

Eight studies reported data on CV death (SGLT2 inhibitors, 29,089 patients; placebo, 26,729 patients). The pooled analysis demonstrates that SGLT2 inhibitors significantly reduce the risk for CV death compared with placebo (HR: 0.85; 95% CI 0.79-0.93), Figure 2A. The difference between the em-

pagliflozin (HR: 0.81; 95% CI 0.68-0.97) and dapagliflozin (HR: 0.88; 0.82-0.95) groups was statistically non-significant (p=0.39).

## All-cause death

All studies reported the effects of SGLT2 inhibitors on allcause death (SGLT2 inhibitors, 29,062 patients; placebo, 26,729 patients). SGLT2 inhibition was associated with significantly reducing the risk of all-cause death compared to placebo (HR: 0.86; 95% CI 0.79-0.95), Figure 2B. The difference between the empagliflozin (HR: 0.86; 95% CI 0.73-1.02) and dapagliflozin (HR: 0.87; 0.78-0.97) groups was statistically nonsignificant (p=0.94).

# Discussion

We present several noteworthy findings in this pooled analysis involving over 55,000 high-risk patients identified as having either T2DM, HFrEF, HFpEF, or CKD. SGLT2 inhibitors reduced the risk of CV and all-cause death by 15% and 14%, respectively. Moreover, our analysis found no discernible difference in the benefits of empagliflozin and dapagliflozin on these outcomes.

Differences in the impact of SGLT2 inhibitors on CV and overall mortality across the individual trials may be due to variations in the baseline characteristics of the enrolled participants or inclusion criteria. In DECLARE-TIME, no effect was observed for dapagliflozin on CV or all-cause death. In con-



Figure 1. PRISMA flowchart summarizing the study selection process.

	EMPA - Empagliflozi (n=4687)	REG n Placebo l (n=2333)	EMPEROR - Empagliflozir (n=1863)	Reduced E 1 Placebo E (n=1867)	EMPEROR - P Empagliflozir (n=2997)	reserved 1 Placebo E (n=2991)	EMPA - KID impagliflozin (n=3304)	NEY Placebo [ (n=3305)	DAPA - HF Dapagliflozin (n=2373)	Placebo D (n=2371)	DECLARE - apagliflozin (n=8582)	TIMI Placebo E (n=8578)	DAPA - ( bapagliflozin (n=2152)	CKD Placebo D (n=2152)	DELIVER Dapagliflozin (n=3131)	Placebo (n=3132)
Follow-up, yrs Age. vears	3.1 63.1±8.6	1.3 63.2±8.8	2.2 67.2±10.8	2.0 66.5±11.2	1.5 71.8±9.3	4.2 71.9±9.6	2.4 63.9±13.9	2.3 63.8±13.9	66.2±11.0	66.5±10.8	63.9±6.8	64.0±6.8	61.8±12.1	61.9±12.1	71.8±9.6	71.5±9.5
Female (%)	1351	653	437	456	1338	1338	1097	1095	564	545	3171	3251	602	716	1364	1383
	(28.9)	(30)	(23.5)	(24.4)	(44.6)	(44.7)	(33.2)	(33.1)	(23.8)	(23.0)	(36.9)	(37.9)	(32.9)	(33.3)	(43.6)	(44.2)
HTN, n (%)	NA	NA	1349	1349	2721	2703	NA	NA	NA	NA	NA	NA	NA	NA	2755	2798
			(72.4)	(72.3)	(90.8)	(90.4)									(88.0)	(89.3)
AF, n (%)	NA	ΝA	664	705	1543	1514	NA	NA	916	902	NA	NA	NA	ΝA	1758	1794
			(35.6)	(37.8)	(51.5)	(20.6)			(38.6)	(38.0)					(56.1)	(57.3)
DM, n (%)	4687	2333	927	929	1466	1472	1525	1515	993	066	8582	8578	1455	1451	1401	1405
	(100)	(100)	(49.8)	(49.8)	(48.9)	(49.2)	(46.2)	(45.8)	(41.8)	(41.8)	(100)	(100)	(67.6)	(67.4)	(44.7)	(44.9)
CAD, n (%)	3545	1763	AN	AN	NA	NA	NA	AN	NA	NA	2824	2834	AN	AN	NA	NA
	(75.6)	(75.6)									(32.9)	(33.0)				
HF, n (%)	462	244	1863	1867	2997	2991	NA	NA	2373	2371	852	872	235	233	3131	3132
	(6.6)	(10.5)	(100)	(100)	(100)	(100)			(100)	(100)	(6.9)	(10.2)	(10.9)	(10.8)	(100)	(100)
ACEi, n (%)	3798	1868	1314	1286	2428	2404	NA	ΝA	1332	1329	6977	6973	673	681	1144	1151
	(81.0)	(80.1)	(70.5)	(68.9)	(81.0)	(80.4)			(56.1)	(56.1)	(81.3)	(81.3)	(31.3)	(31.6)	(36.5)	(36.7)
ARNi, n (%)	NA	ΝA	340	387	65	69	NA	NA	250	258	NA	NA	NA	ΝA	165	136
			(18.3)	(20.7)	(2.2)	(2.3)			(10.5)	(10.9)					(5.3)	(4.3)
Diuretic, n (%)	2047	988	NA	NA	NA	NA	1362	1453	2216	2217	3488	3479	928	1399	2403	2408
	(43.7)	(42.3)					(41.2)	(44.0)	(93.4)	(93.5)	(40.6)	(40.6)	(43.1)	(65.0)	(76.7)	(26.9)
BB, n (%)	3056	1498	1765	1768	2598	2569	NA	ΝA	2278	2280	4498	4532	ΝA	ΝA	2592	2585
	(65.2)	(64.2)	(94.7)	(94.7)	(86.7)	(85.9)			(0.96)	(96.2)	(52.4)	(52.8)			(82.8)	(82.5)
MRA, n (%)	305	136	1306	1355	1119	1125	NA	NA	1696	1674	NA	NA	NA	ΝA	1340	1327
	(6.5)	(5.8)	(70.1)	(72.6)	(37.3)	(37.6)			(71.5)	(70.6)					(42.8)	(42.4)
HTN, hypertens beta blockers.	sion; AF, atrial	fibrillation;	DM, diabete	s mellitus; C	AD, coronar	y artery dise	ease; HF, hea	rt failure; A	CEi, angiotei	าsin convert	ing enzyme i	nhibitors; /	<pre>\RNI, angiot</pre>	ensin-nepril	ysin inhibitor	's; BB,

trast, in EMPA-REG, empagliflozin reduced the risk of CV and all-cause death by 38% and 32% in patients with T2DM, respectively. This was true despite EMPA-REG enrolling sicker patients having a higher frequency of comorbidities such as a history of coronary artery disease (75% vs. 32%), stroke (23% vs. 8%), peripheral artery disease (21% vs. 6%) and worse renal functions (eGFR 74 vs. 85 ml/min/1.73 m<sup>2</sup>). In DELIVER, dapagliflozin reduced the risk of CV death by 12% in patients with HFpEF. A similar benefit was observed for empagliflozin in EMPEROR-Preserved. These two trials differed in their inclusion criteria concerning N-terminal pro-B-type natriuretic peptide (NT-proBNP) levels. Indeed, in the EM-PEROR-Reduced trial, the NT-proBNP criteria for patients with LVEF ≥31-40% were unusually high compared to those patients with LVEF ≤30%. Of note, variation in treatment response according to baseline NT-proBNP has been well reported.<sup>12,13</sup> In DAPA-CKD, dapagliflozin reduced the risk of all-cause death by 31% in patients with CKD. EMPA-CKD showed a similar, but non-significant, effect of empagliflozin on all-cause death. In EMPA-CKD, patients were generally healthier with a lower frequency of comorbidities such as cardiovascular disease (27% vs. 37%) and T2DM (44% vs. 67%), due to which there may have been lesser room for improvement observed with empagliflozin.

Perhaps the most important key message of our analysis was that despite there being a moderate difference between dapagliflozin and empagliflozin by their SGLT2i selectivity, the clinical outcome and benefit seem identical and homogenous. These findings are consistent with real-world experiences. Data from the Korean National Insurance Service database that included >100,00 patients with T2DM showed that the risk of all-cause mortality was similar between the dapagliflozin and empagliflozin users.<sup>14</sup> Similarly, a propensity-score matching analysis of 921 patients treated with dapagliflozin and an equal number of patients treated with empagliflozin showed no significant difference in reduction of risk of cardiovascular death between dapagliflozin and empagliflozin cohorts.<sup>15</sup> A recent analysis also demonstrated that empagliflozin and dapagliflozin have comparable value in improving outcomes in patients with HFrEF.<sup>16</sup>

# Conclusions

The findings from the present analyses should be interpreted considering some limitations. This was a study-level analysis, as individual patient data was not available. There were some variations in the definition of the endpoint across the included studies.

Table 1. Study and baseline characteristics of included studies.

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1	3

				Hazard Ratio		Hazard Ratio
Study or Subgroup	log[Hazard Ratio]	SE	Weight	IV, Random, 95% CI	Year	IV, Random, 95% Cl
1.3.1 Empagliflozin						
EMPA-REG	-0.478	0.1201	9.5%	0.62 [0.49, 0.78]	2015	<
EMPEROR-Reduced	-0.0834	0.1042	11.7%	0.92 [0.75, 1.13]	2020	
EMPEROR-Preserved	-0.1278	0.0953	13.3%	0.88 [0.73, 1.06]	2021	
EMPA-KIDNEY	-0.1744	0.1717	5.3%	0.84 [0.60, 1.18]	2023	
Subtotal (95% CI)			39.9%	0.81 [0.68, 0.97]		
Heterogeneity: Tau <sup>2</sup> =	0.02; Chi <sup>2</sup> = 7.21, c	lf = 3 (P =	= 0.07);	<sup>2</sup> = 58%		
Test for overall effect:	Z = 2.30 (P = 0.02)					
1.3.2 Dapagliflozin						
DAPA-HE	-0.1985	0.0881	14.7%	0.82 [0.69, 0.97]	2019	
DECLARE-TIMI	-0.0202	0.0909	14.1%	0.98 [0.82, 1.17]	2019	
DAPA-CKD	-0.2107	0.1704	5.4%	0.81 [0.58, 1.13]	2020	
DELIVER	-0.1278	0.0486	26.0%	0.88 [0.80, 0.97]	2022	
Subtotal (95% CI)	0.1270	0.0100	60.1%	0.88 [0.82, 0.95]	LOLL	▲
Heterogeneity: $Tau^2 =$	$0.00^{\circ}$ Chi <sup>2</sup> = 2.28 c	f = 3 (P)	= 0 52).1	$^{2} = 0\%$		•
Test for overall effect:	Z = 3.36 (P = 0.000)	8)	= 0.52), 1	- 0/0		
rest for orefull enter.	2 5.50 (. 0.000	0)				
Total (95% CI)			100.0%	0.85 [0.79, 0.93]		◆
Heterogeneity: Tau <sup>2</sup> =	0.00; Chi <sup>2</sup> = 10.62,	df = 7 (P	= 0.16);	$I^2 = 34\%$		
Test for overall effect:	Z = 3.71 (P = 0.000)	2)				0.5 0.7 I I.5 2
Test for subgroup diff	erences: Chi <sup>2</sup> = 0.73	df = 1 (	P = 0.39	$  _{1}^{2} = 0\%$		ravours (intervention) ravours (Placebo)

## B



Figure 2. Forest plots showing effects of SGLT2 inhibitors, in comparison with placebo on cardiovascular death (A) and all-cause death (B).

In conclusion, SGLT2 inhibition was associated with a significant reduction in the risk of all-cause and cardiovascular death. Importantly, no differences were observed in the benefit of empagliflozin and dapagliflozin on these outcomes.

# **Contributions**

All the authors made a substantive intellectual contribution, read and approved the final version of the manuscript and agreed to be accountable for all aspects of the work.

## **Conflict of interest**

TJS has nothing report. JB has served as a consultant to Abbott, Adrenomed, Arena Pharma, Array, Amgen, Applied

Therapeutics, Astra Zeneca, Bayer, Boehringer Ingelheim, Cardior, CVRx, Eli Lilly, G3 Pharma, Imbria, Impulse Dynamics, Innolife, Janssen, LivaNova, Luitpold, Medtronic, Merck, Novartis, Novo Nordisk, Sequana Medical, V-Wave Limited, and Vifor. AJSC reported honoraria from AstraZeneca, Bayer, Boehringer Ingelheim, Menarini, Novartis, Nutricia, Servier, Vifor, Abbott, Actimed, Arena, Cardiac Dimensions, Corvia, CVRx, Enopace, ESN Cleer, Faraday, Gore, Impulse Dynamics, Respicardia, and Viatris. Dr Verma has received research and/or speaking honoraria from Amgen, Amarin, AstraZeneca, Bayer, CMS, Janssen, HLS, Sanofi, NovoNordisk, Novartis, Merck, and Phase Bio; has received personal fees from Boehringer Ingelheim; is president of the Canadian Medical and Surgical Knowledge Translation Research Group; and holds the Tier1 Canada Research Chair in Cardiovascular Surgery. TF reported consulting fees from Vifor Pharma,



Bayer, Biosense Webster, CSL Behring, Galapagos, Minoryx, Novartis, LivaNova, Janssen, Roche, and honoraria from Fresenius Kabi. GF reported honoraria from Bayer and Boehringer Ingelheim, committee membership for Medtronic, Vifor Pharma, Amgen, Servier, and Novartis, and grants from the European Commission. SDA reports consulting fees from Abbott, Bayer, Boehringer Ingelheim, Cardiac Dimension, Cordio, Impulse Dynamics, Novartis, Occlutech, Servier, and Vifor Pharma, and grant support from Abbott and Vifor Pharma.

# Ethics approval and consent to participate

Our study did not require institutional board review as deidentified publicly available was used.

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Online supplementary material: Document 1. PRISMA checklist. Figure S1. Risk of bias assessment of the included studies.

